



RISK BASED COMPLIANCE FUNCTION AT BRANCH LEVEL

DATE: Tuesday, August 29, 2017
FEE: PKR 8,500/- per participant
VENUE: Shelton's Greens Hotel, Peshawar
TIMINGS: 9:00 am to 5:00 pm

5%
DISCOUNT
on 3-5 nominations

10%
DISCOUNT
on 06+nominations

LAST DATE OF REGISTRATION
August 28, 2017

Course Facilitator
Mr. Badar Hussain

COURSE OVERVIEW

Risk is inherent in every business. Similarly banks, like any other business, are subject to several kinds of risks and one of them is Compliance Risk; it refers to the risk arising from failing to meet various laws, rules & regulations. Compliance Risk may impact a bank's earning or capital very negatively. This course will cover the compliance related issues at branch level and different measures to avoid the same, keeping in view the regulatory requirements.

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The Institute
of Bankers
Pakistan



LEARNING OBJECTIVES

At the end of the course the participants will be able to:

- Understand the importance of Compliance Function
- Have awareness of different compliance related issues at branch level
- Improve their understanding of Regulator's viewpoint with reference to risk based approach
- Take different precautionary measures for avoiding compliance risk at branch level

COURSE CONTENTS

This course would broadly cover the following:

- Overview of Compliance – Scope, Need, Function and Importance
- Internal Control, Audit and Compliance Linkage
- Regulatory Compliance – Roles and Responsibilities of Individuals/ Functions
- Understanding Compliance Risk and its Mitigation
- Effects of AML/CFT Regulations and Reporting Issues
- Risk based approach
- Mechanism of SBP Inspection, Penalties and Best Practices to Avoid Them
- Activities - Reinforcement

WHO SHOULD ATTEND?

Primarily the following participants should attend this course:

- Branch Managers/Operation Managers
- Officers associated with account opening and/or banking operations
- Compliance Professionals
- Auditors

FACILITATOR

Mr. Badar Hussain

Mr. Badar is a senior-level professional banker with tremendous banking experience of more than 40 years in the areas of Banking Operations, Internal Control, Risk Management, Advances, Customer Services, International Trade and Learning & Development. He has served MCB Bank Ltd and Summit Bank Ltd. during his career, where he held various mid to senior-level positions including Chief Manager, Regional Manager, Area Head, Regional Head, Regional General Manager etc. He also carries 16 years of International Banking exposure. His last assignment was with Summit Bank Ltd. as Regional General Manager. He is a senior-level trainer and has conducted a number of trainings on banking-related areas. He is masters from Peshawar University.

CONTACT DETAILS:

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NOTE: Payment should be made on or before the course start date in the name of "THE INSTITUTE OF BANKERS PAKISTAN".
Please send nominations/requests for participation at least two days before the training date.