

RISK BASED COMPLIANCE FUNCTION AT BRANCH LEVEL

DATE: : May 03, 2017

FEE: PKR 8,500/- per participant

VENUE: IBP, M.T. Khan Road, Karachi

TIMINGS: 9:00 am to 5:00 pm

5%
DISCOUNT
on 3-5 nominations

10%
DISCOUNT
on 06+nominations

LAST DATE OF REGISTRATION:
May 02, 2017

Course Facilitator:
Mr. Naveed Elahi Malik

COURSE OVERVIEW

Risk is inherent in every business. Similarly banks, like any other business, are subject to several kinds of risks and one of them is Compliance Risk; it refers to the risk arising from failing to meet various laws, rules & regulations. Compliance Risk may impact a bank's earning or capital very negatively. This course will cover the compliance related issues at branch level and different measures to avoid the same, keeping in view the regulatory requirements.

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The Institute
of Bankers
Pakistan



LEARNING OBJECTIVES :

At the end of the course the participants will be able to:

- Understand the importance of Compliance Function
- Have awareness of different compliance related issues at branch level
- Improve their understanding of Regulator's viewpoint with reference to risk based approach
- Take different precautionary measures for avoiding compliance risk at branch level

COURSE CONTENT:

This course would broadly cover the following:

- Overview of Compliance - Scope, need, function and importance
- Internal control, Audit and Compliance linkage
- Regulatory Compliance - Roles and responsibilities of individuals/ functions
- Understanding Compliance risk and its mitigation
- Effects of AML/CFT regulations and reporting issues
- Risk based approach and understanding

- Mechanism of SBP inspection, penalties and best practices to avoid them
- Activities - Reinforcement

WHO SHOULD ATTEND?

Primarily the following participants should attend this course:

- Branch Managers/Operation Managers
- Officers associated with account opening and/or banking operations
- Compliance Professionals
- Auditors

FACILITATOR:

Mr. Naveed Elahi Malik

Head of Compliance, Vigilance and Fraud Risk Management
Sindh Bank Ltd.

Naveed Elahi Malik is an experienced banker with rich experience of more than 40 years. He has previously served NIB Bank as Head of Fraud Risk Management and Group Head Compliance, Head of Complaints and Resolution, Head of Internal Audit at PICIC Commercial Bank and Head of Branch Operations at Allied Bank Ltd. At present he is associated with Sindh Bank Ltd. as Head of Compliance, Vigilance and Fraud Risk Management. He possesses a skill-set for making various banking functions efficient, cost effective, user friendly and regulatory compliant, designing and preparing manuals, structured checklists, process flows, etc.

CONTACT DETAILS:

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NOTE: Payment should be made on or before the course start date in the name of "THE INSTITUTE OF BANKERS PAKISTAN".
Please send nominations/requests for participation at least two days before the training date.